

PTO-1390 (Rev. 07-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO	ATTORNEY'S DOCKET NUMBER kenco01.010					
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION, NO.: (If/known, see SCFR 1.5)				
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
PCT/US04/39540	24 November 2004	26 November 2003				
TITLE OF INVENTION Improved Optically Excited Atomic Fre	quency Standard	,				
APPLICANT(S) FOR DO/EO/US Laiacano, et al.						
	ates Designated/Elected Office (DO/EC	D/US) the following items and other information:				
This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.						
2. This is a SECOND or SUBSEQUENT se	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.					
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4. The US has been elected (Article 31).						
5. A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))					
a. is attached hereto (required	only if not communicated by the Internation	nal Bureau).				
b. has been communicated by	the International Bureau.					
c. is not required, as the applic	c. I is not required, as the application was filed in the United States Receiving Office (RO/US).					
6. An English language translation of the	e International Application as filed (35 U.S.C	C. 371(c)(2)).				
a. is attached hereto.						
_	ted under 35 U.S.C. 154(d)(4).					
7. Amendments to the claims of the Inte	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
a. are attached hereto (requir	a. are attached hereto (required only if not communicated by the International Bureau).					
b. have been communicated	by the International Bureau.					
c. have not been made; how	c. have not been made; however, the time limit for making such amendments has NOT expired.					
d. An have not been made and v	vill not be made.					
8. An English language translation of th	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	examination Report under PCT				
Items 11 to 20 below concern document(s) or information included:						
11. An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.					
12. An assignment document for recording	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. A preliminary amendment.	A preliminary amendment.					
14. An Application Data Sheet under 37 (	An Application Data Sheet under 37 CFR 1.76.					
15. A substitute specification.	A substitute specification.					
16. A power of attorney and/or change of	A power of attorney and/or change of address letter.					
17. A computer-readable form of the sequ	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
18. A second copy of the published Interr	A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
19. A second copy of the English language	ge translation of the international application	n under 35 U.S.C. 154(d)(4).				
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U.S. APPLICATION	NO (if known	see 37 CFR 1.5)			ATTORNEY'S DOC	KET NUMBER	
10	12/7	747	PCT/US0/39540		kernco01.010		
20. Other items or information:							
The followi	ng fees have b	een submitted			CALCULATIONS	PTO USE ONLY	
21. 🗸 Basic n	ational fee (37	CFR 1.492(a))		\$300	<sup>\$</sup> 300		
22. 🔽 Examina	tion fee (37 Cl						
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 All other situations				\$ 0			
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$ O			
то	TAL OF 21, 2	2 and 23 =			\$ 300		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets E	ktra Sheets	eets Number of each additional 50 or fraction thereof (round up to a whole number)		RATE			
- 100 =	/50 =			x \$250	\$	<u> </u>	
			ch fee, examination fee, or the ge (37 CFR 1.492(h)).	oath or declaration	\$		
CLAIMS	NUME	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims	1:	2 - 20 =		x \$50	\$		
Independent claims	2	- 3 =		× \$200	\$		
MULTIPLE DEPEN	DENT CLAIM(	6) (if applicable)		+ \$360	\$	-	
TOTAL OF ABOVE CALCULATIONS =			CALCULATIONS =	\$ 300			
Applicant claims	s small entity s	tatus. See 37 CF	R 1.27. Fees above are reduc	ced by 1/2.			
SUBTOTAL =			\$ 150				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$				
TOTAL NATIONAL FEE =				\$ 150			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			\$				
TOTAL FEES ENCLOSED =				\$ 150			
					Amount to be refunded:	\$	
					Amount to be charged	\$	

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	a. 🔽	A check in the amount of \$ 150 to cover the above fees is enclosed.			
١	b. 🔲	Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.			
	c. 🗸	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501315 A duplicate copy of this sheet is enclosed.			
١	d. 🔲	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.			
	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.				
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	SEND A	LL CORRESPONDENCE TO:			
ı		SIGNATURE			
Į		Gordon E. Nelson			
ı		NAME			
ł		30.093			
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (kernco01.010)

Applicant:	Laiacano, et al.	Paper No.:			
Application No:		Group Art Unit:			
Filed:		Examiner:			
Title:	Improved Optically Excited Atomic I	Frequency Standard			
Commissione Alexandria, V	r for Patents A 22313-1450				
Certification under 37 C.F.R. 1.97(b)					
Applicants' attorney hereby certifies that the items of information contained in the attached information disclosure is being filed in the above patent application within one of the following time periods: (1) Within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);					
X (2) Within three months of the date of entry of the national phase as set forth in §1.491 in an international application;					
(3) Before the mailing of a first Office action on the merits; or					
(4) Before the mailing of a first Office action after the filing of a request for continued examination under §1.114.					
		Attorney of record, Gordon E. Nelson 57 Central St., P.O. Box 782 Rowley, MA, 01969, Registration number 30,093 Voice: (978) 948-7632 Fax: (866) 723-0359  May 22, 2006			
		Date			



## **Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents Alexandria, VA 22313-1450

on <u>May 22, 2006</u> (Date)

Gordon E. Nelson, #30,093

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